

# Higher-Order Masking in Practice: A Vector Implementation of Masked AES for ARM NEON

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# Outline

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## Introduction

- Differential Power Analysis
- Masking Countermeasures
- High-Order DPA Attacks

## Background

- Advanced Encryption Standard
- High-Order Masking
- Rivain-Prouff Countermeasure

## Implementation

- ARM NEON
- Performance-Critical Analysis
- Implementation of Secure Field Multiplication

## Results and Comparison

## Conclusion

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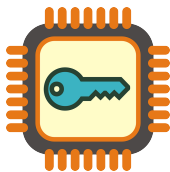
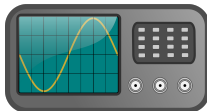
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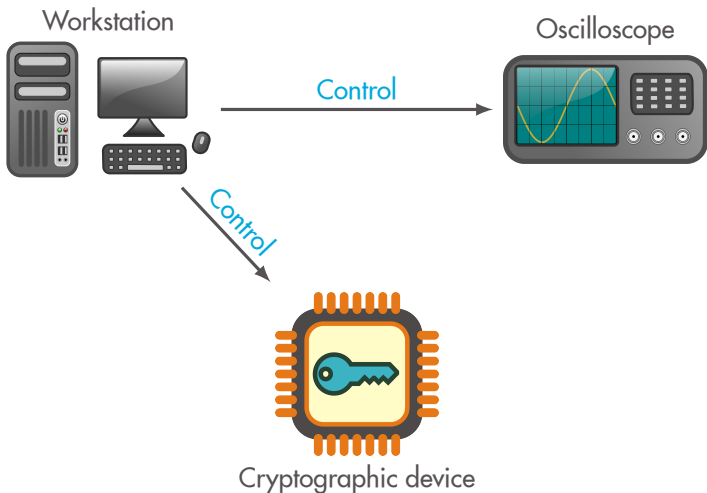
Workstation

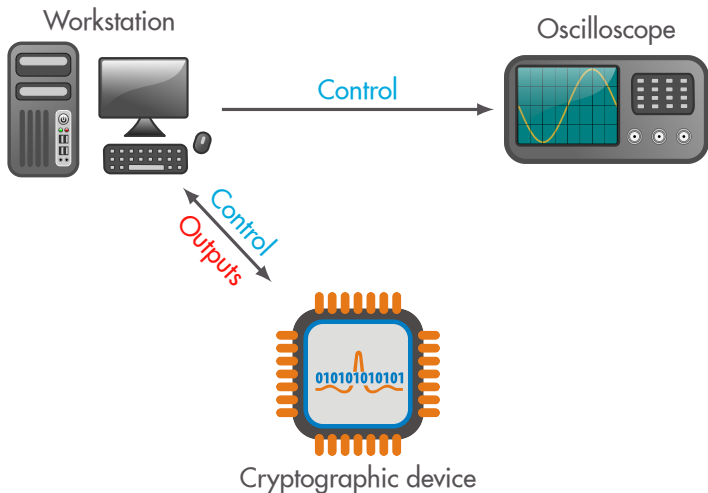


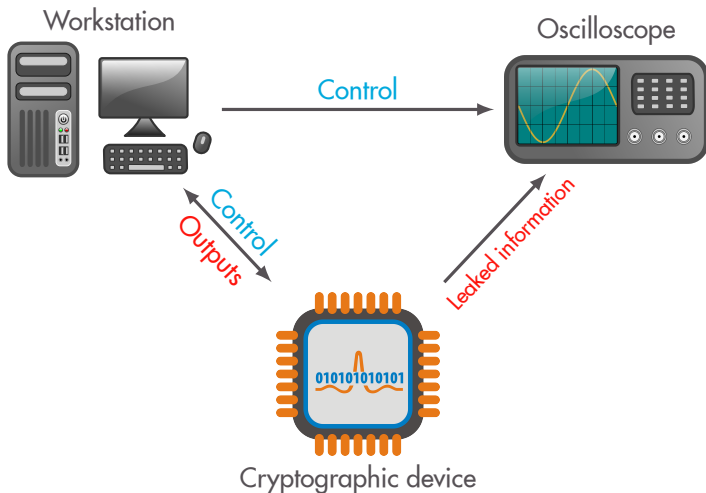
Oscilloscope

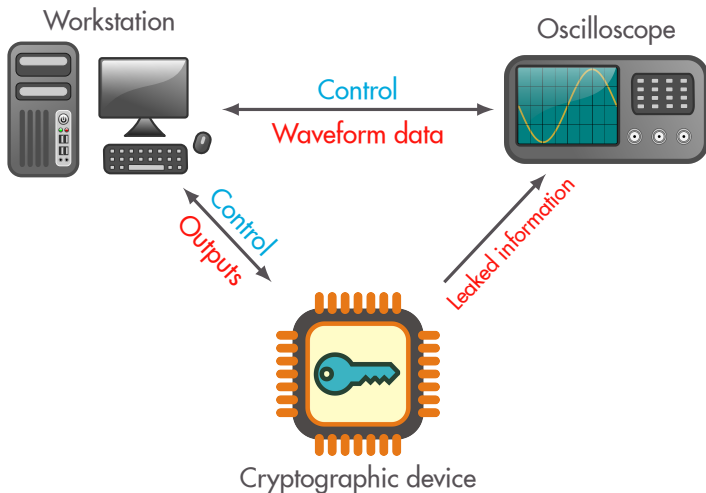


Cryptographic device











# Introduction

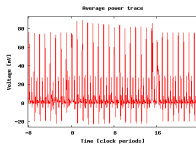
## Differential Power Analysis (DPA) [KJJ99]

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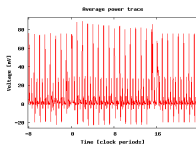
Group by some known  
or predicted data

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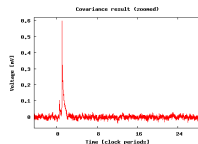
Average trace



111



Differential trace



- Suppose  $x$  is a sensitive intermediate variable in a block cipher.

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- Generate a random  $r$ , and process  $r$  and masked value

$$x' = x \oplus r$$

separately instead of  $x$ .

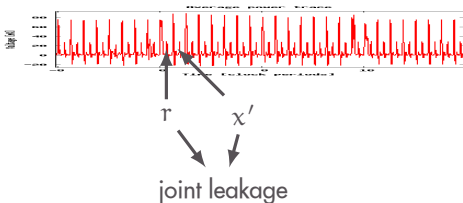
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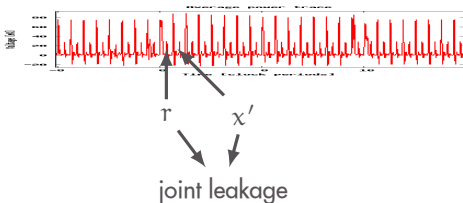
- $r$  is random
  - ⇒  $x'$  is random
  - ⇒ Power consumption of  $r$  or  $x'$  alone does not leak any information on  $x$ .

- Second-order attacks
  - ▶ Two intermediate variables are probed.



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- High-order attacks
  - ▶ order is the number of probed intermediate values.
  - ▶ The complexity grows **exponentially** as the order increases.

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- Substitution-permutation network based block cipher
- 128-bit (4\*4 bytes) state block with three different key lengths



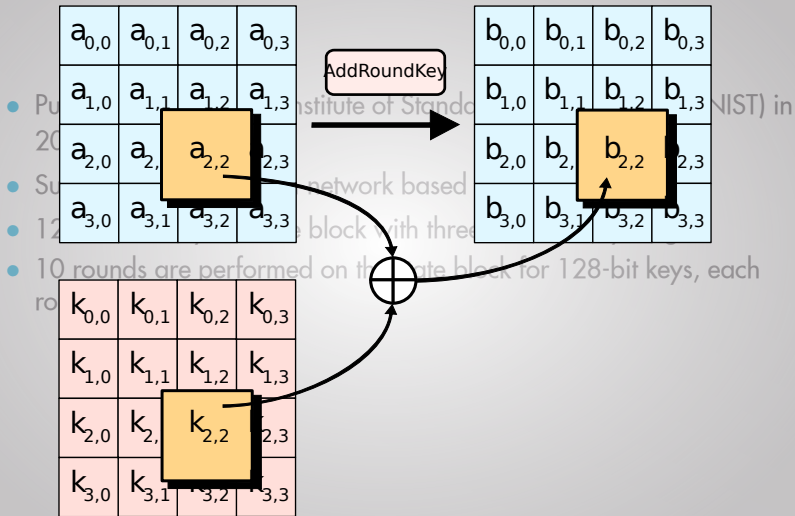
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## Advanced Encryption Standard (AES)

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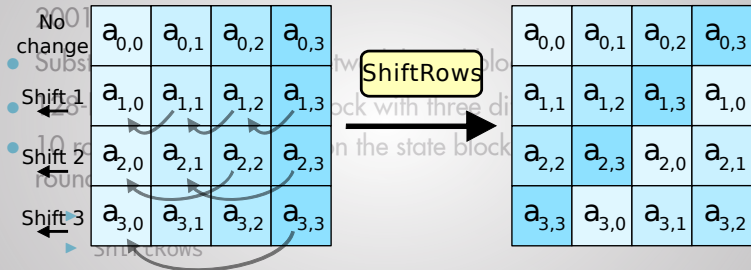
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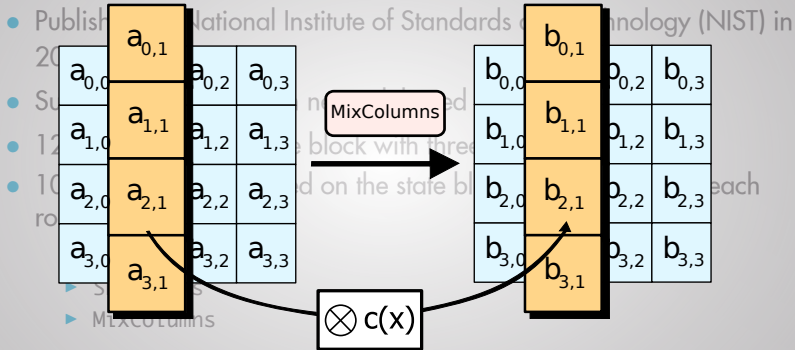


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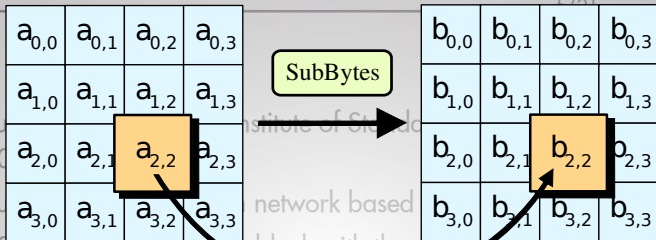


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  - ▶ SubBytes, also known as S-box, **non-linear** transformation



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## Advanced Encryption Standard (AES)



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- Suitable for both software and hardware implementations
- 128-bit (4 x 4 bytes) state block with three different key lengths
- 10 rounds are performed on the state block for 128-bit keys, each round contains:

▶ AddRoundKey

▶ **S-box**: a multiplicative inversion over  $\mathbb{F}_{2^8}$

▶ MixColumns

▶ SubBytes, also known as S-box, **non-linear** transformation

**Inversion**: typically implemented via table

look-up, but in our case:  $x^{-1} = x^{254}$ .

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- Masking S-Boxes ? **Not easy!!!**

- Ishai-Sahai-Wagner Scheme [ISW03]
  - ▶ Describe how to transform a boolean circuit into a new circuit resistant against any  $t$  probes.
- Rivain-Prouff countermeasure [RP10]
  - ▶ Secure the inversion of S-box through exponentiation.
  - ▶ Secure the inversion of S-box over composite field [KHL11].
- Carlet et al. countermeasure (FSE12)
  - ▶ Extend [RP10] to arbitrary S-box

$$S(x) = \sum_{i=0}^{2^k-1} \alpha_i x^i$$

over  $\mathbb{F}_{2^k}$ .

- Coron countermeasure (EUROCRYPT14)
  - ▶ Generalize the classic randomized table countermeasure.



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- Secure squaring is easy.
- Secure multiplication  $z = xy$  is extended from [ISW03], i.e., recomputing

$$\bigoplus_{i=1}^n z_i = \left( \bigoplus_{i=1}^n x_i \right) \left( \bigoplus_{i=1}^n y_i \right) = \bigoplus_{1 \leq i, j \leq n} x_i y_j$$

as

$$\begin{aligned} \bigoplus_i z_i &= \bigoplus_i \left( x_i y_i \oplus \bigoplus_{j < i} (x_i y_j \oplus x_j y_i) \right) \\ &= \bigoplus_i \left( \left( \bigoplus_{j > i} r_{i,j} \right) \oplus x_i y_i \oplus \bigoplus_{j < i} \left( (r_{j,i} \oplus x_i y_j) \oplus x_j y_i \right) \right). \end{aligned} \tag{1}$$

SecExp254 - masked exponentiation in  $\mathbb{F}_{2^8}$  with  $n$  shares [RP10]

**Input:** shares  $x_i$  satisfying  $x_1 \oplus \dots \oplus x_n = x$

**Output:** shares  $y_i$  satisfying  $y_1 \oplus \dots \oplus y_n = x^{254}$

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# Background

## A Flaw in RP Countermeasure (FSE13)

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- Vulnerable to  $(\lfloor n/2 \rfloor + 1)$ -th order attacks due to the integration with RefreshMasks.

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- Solution: secure the multiplication:  
 $h(x) = x \cdot g(x)$ , where  $g(x) = x^{2k}$ .

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- Suppose  
 $f(x_i, x_j) = x_i \cdot g(x_j) \oplus x_j \cdot g(x_i)$



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5. RefreshMasks( $(w_i)_i$ )
6.  $(y_i)_i \leftarrow \text{SecH}((y_i)_i, (w_i)_i)$
7.  $(y_i)_i \leftarrow (y_i^{16})_i$
8.  $(y_i)_i \leftarrow \text{SecMult}((y_i)_i, (w_i)_i)$
9.  $(y_i)_i \leftarrow \text{SecMult}((y_i)_i, (z_i)_i)$

- Vulnerable to  $(\lfloor n/2 \rfloor + 1)$ -th order attacks due to the integration with RefreshMasks.

- Solution: secure the multiplication:  
 $h(x) = x \cdot g(x)$ , where  $g(x) = x^{2^k}$ .
- Suppose  
 $f(x_i, x_j) = x_i \cdot g(x_j) \oplus x_j \cdot g(x_i)$
- By the property of  $f(\cdot, \cdot)$  that  
 $f(x_i, x_j) = f(x_i, r) \oplus f(x_i, x_j \oplus r)$

# Background

## A Flaw in RP Countermeasure (FSE13)

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1.  $(z_i)_i \leftarrow (x_i^2)_i$
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- By the property of  $f(\cdot, \cdot)$  that  
 $f(x_i, x_j) = f(x_i, r) \oplus f(x_i, x_j \oplus r)$
- Equation 1 equals to

$$\begin{aligned}\bigoplus_i z_i &= \bigoplus_i \left( \left( \bigoplus_{j>i} r_{i,j} \right) \oplus x_i y_i \oplus \right. \\ &\quad \left. \bigoplus_{j<i} (r_{j,i} \oplus f(x_i, x_j)) \right) \\ &= \bigoplus_i \left( \left( \bigoplus_{j>i} r_{i,j} \right) \oplus x_i y_i \oplus \right. \\ &\quad \left. \bigoplus_{j<i} \left( r_{j,i} \oplus f(x_i, r'_{j,i}) \right. \right. \\ &\quad \left. \left. \oplus f(x_i, x_j \oplus r'_{j,i}) \right) \right),\end{aligned}$$

if  $y_i = g(x_i)$ .

# Outline

---

## Introduction

- Differential Power Analysis
- Masking Countermeasures
- High-Order DPA Attacks

## Background

- Advanced Encryption Standard
- High-Order Masking
- Rivain-Prouff Countermeasure

## Implementation

- ARM NEON
- Performance-Critical Analysis
- Implementation of Secure Field Multiplication

## Results and Comparison

## Conclusion

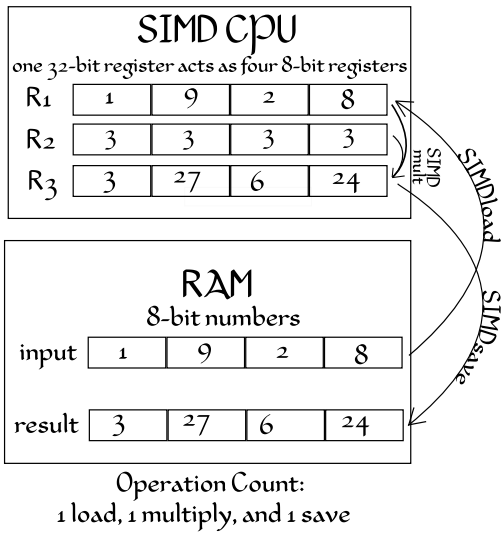
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  - ▶ Low-cost, high-performance and energy-efficiency
  - ▶ Applications: smartphones, tablets, digital camera, etc.

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# Implement

ARM NEON

- ARM is
  - ▶ Low
  - ▶ Ap
- NEON i



processors

Figure: SIMD Example

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  - ▶ Arithmetic operations, boolean operations and others
  - ▶ Featured instruction:
    - ▶ `VMULL.P8`
    - ▶ `VTBL.8`

Operations	Field Multiplication	Random Bits	XOR	Momeory
SecSqr	$n$	0	0	$2n$
SecPow4	$2n$	0	0	$2n$
SecPow16	$4n$	0	0	$2n$
SecMult	$n^2$	$(n^2 - n)/2$	$2(n^2 - n)$	$2n + \mathcal{O}(1)$
SecH	$(n^2 - n)(m + 2) + n$	$n^2 - n$	$7(n^2 - n)/2$	$3n + \mathcal{O}(1)$
SecExp254'	$9n^2 + 2n$	$3(n^2 - n)$	$11(n^2 - n)$	$4n + \mathcal{O}(1)$

**Table:** Complexty of masked algorithms for S-box with  $n$  shares, where  $m$  is the number of field multiplication in  $h(\cdot)$ .

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**Table:** Complexty of masked algorithms for S-box with  $n$  shares, where  $m$  is the number of field multiplication in  $h(\cdot)$ .

- Performance-critical parts:
  - ▶ Field Multiplication
  - ▶ Random bits generation

- Designed to optimize the modular reduction  $r = a \bmod n$ , where  $a$ ,  $n$  are integers and  $a < n^2$ .

- Designed to optimize the modular reduction  $r = a \bmod n$ , where  $a$ ,  $n$  are integers and  $a < n^2$ .
- Adapted to polynomials [Dhe03]
  - ▶ Suppose  $U(x)$ ,  $Q(x)$ ,  $N(x)$  and  $Z(x)$  are polynomial over  $\mathbb{F}_q$ , and  $U(x) = Q(x)N(x) + Z(x)$
  - ▶  $\lfloor A(x)/B(x) \rfloor$  stands for the quotient of  $A(x)/B(x)$ , ignoring the remainder
  - ▶ Quotient evaluation

$$Q(x) = \left\lfloor \frac{U(x)}{N(x)} \right\rfloor = \left\lfloor \frac{\left\lfloor \frac{U(x)}{x^p} \right\rfloor \left\lfloor \frac{x^{p+\beta}}{N(x)} \right\rfloor}{x^\beta} \right\rfloor = \left\lfloor \frac{T(x)R(x)}{x^\beta} \right\rfloor,$$

where  $p = \deg(N(x))$ ,  $\beta \geq \deg(U(x)/x^p)$

- ▶ The remainder  $Z(x) = U(x) - Q(x)N(x)$ .

# Implementation

**Input:** polynomials  $A(x)$ ,  $B(x)$  and  $N(x)$  in  $\mathbb{F}_{2^8}$ , where  $N(x) = x^8 + x^4 + x^3 + x + 1$

**Output:** polynomial  $Z(x) = A(x) \cdot B(x) \bmod N(x)$

**Pre-computation:**

1:  $p \leftarrow \deg(N(x))$

$\triangleright p = 8$

2:  $\alpha \leftarrow 2 * (p - 1)$

$\triangleright \alpha = 14$

3:  $\beta \geq \alpha - p$

$\triangleright \beta \geq 6$

4:  $R(x) \leftarrow \lfloor \frac{x^{p+\beta}}{N(x)} \rfloor$

$\triangleright R(x) = x^6 + x^2 + x$  if  $\beta = 6$



# Implementation

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4:  $R(x) \leftarrow \lfloor \frac{x^{p+\beta}}{N(x)} \rfloor$   $\triangleright R(x) = x^6 + x^2 + x$  if  $\beta = 6$

**Multiplication with Barrett modular reduction:**

1:  $U(x) \leftarrow A(x) \cdot B(x)$   $\triangleright \deg(U(x)) \leq 14$

2:  $T(x) \leftarrow \lfloor \frac{U(x)}{x^p} \rfloor$   $\triangleright \deg(T(x)) \leq 6$

3:  $S(x) \leftarrow T(x) \cdot R(x)$   $\triangleright \deg(S(x)) \leq \beta + 6$

4:  $Q(x) \leftarrow \lfloor \frac{S(x)}{x^\beta} \rfloor$   $\triangleright \deg(Q(x)) \leq 6$

5:  $V(x) \leftarrow Q(x) \cdot N(x)$   $\triangleright \deg(V(x)) \leq 14$

6:  $Z(x) \leftarrow U(x) + V(x)$

# Implementation

```
fmult:      /*uint8x16_t fmult(uint8x16_t a, uint8x16_t b)*/
```

# Implementation

## Vector Implementation of Field Multiplication

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---

```
fmult:      /*uint8x16_t fmult(uint8x16_t a, uint8x16_t b)*/
```

```
VMULL.P8  Q2,D1,D3
```

```
1.  $U(x) = A(x) * B(x)$ 
```

```
VMULL.P8  Q1,D0,D2
```

```
VMOVN.I16 D0,Q1
```

```
VMOVN.I16 D1,Q2
```

# Implementation

fmult:

VMULL.P8 Q2,D1,D3

VMULL.P8 Q1,D0,D2

VMOVN.I16 D0,Q1

VMOVN.I16 D1,Q2

VSHRN.U16 D2,Q1,#+8

VSHRN.U16 D3,Q2,#+8

1.  $U(x) = A(x) * B(x)$

2.  $T(x) = U(x) / x^8$

# Implementation

fmult:

VMULL.P8 Q2, D1, D3

VMULL.P8 Q1, D0, D2

VMOVL.I16 D0, Q1

VMOVL.I16 D1, Q2

VSHRN.U16 D2, Q1, #+8

VSHRN.U16 D3, Q2, #+8

VMOV.U8 D7, #+70

VMULL.P8 Q2, D2, D7

VSHRN.U16 D2, Q2, #+6

VMULL.P8 Q2, D3, D7

VSHRN.U16 D3, Q2, #+6

1.  $U(x) = A(x) * B(x)$

2.  $T(x) = U(x) / x^8$

3.  $S(x) = T(x) * R(x)$

4.  $Q(x) = S(x) / x^6$

# Implementation

fmult:

VMULL.P8 Q2, D1, D3

VMULL.P8 Q1, D0, D2

VMOVN.I16 D0, Q1

VMOVN.I16 D1, Q2

VSHRN.U16 D2, Q1, #+8

VSHRN.U16 D3, Q2, #+8

VMOV.U8 D7, #+70

VMULL.P8 Q2, D2, D7

VSHRN.U16 D2, Q2, #+6

VMULL.P8 Q2, D3, D7

VSHRN.U16 D3, Q2, #+6

VMOV.U8 D2, #0x1B

VMULL.P8 Q1, Q2, Q1

1.  $U(x) = A(x) * B(x)$

2.  $T(x) = U(x) / x^8$

3.  $S(x) = T(x) * R(x)$

4.  $Q(x) = S(x) / x^6$

5.  $V(x) = Q(x) * N(x)$

# Implementation

fmult:

VMULL.P8 Q2, D1, D3

VMULL.P8 Q1, D0, D2

VMOVN.I16 D0, Q1

VMOVN.I16 D1, Q2

VSHRN.U16 D2, Q1, #+8

VSHRN.U16 D3, Q2, #+8

VMOV.U8 D7, #+70

VMULL.P8 Q2, D2, D7

VSHRN.U16 D2, Q2, #+6

VMULL.P8 Q2, D3, D7

VSHRN.U16 D3, Q2, #+6

VMOV.U8 D2, #0x1B

VMULL.P8 Q1, Q2, Q1

VEOR Q0, Q1, Q0

BX LR

1.  $U(x) = A(x) * B(x)$

2.  $T(x) = U(x) / x^8$

3.  $S(x) = T(x) * R(x)$

4.  $Q(x) = S(x) / x^6$

5.  $V(x) = Q(x) * N(x)$

6.  $Z(x) = U(x) + V(x)$

# Implementation

## Vector Implementation of Secure Field Multiplication

16/21

```
void sec_fmmult(uint8x16_t c[],
uint8x16_t a[], uint8x16_t b[],
int n) {
    int i, j;
    uint8x16_t s, t;

    for (i = 0; i < n; i++)
        c[i] = fmult(a[i], b[i]);
    for (i = 0; i < n; i++)
        for (j = i+1; j < n; j++) {
            s = rand_uint8x16();
            c[i] = veorq_u8(c[i], s);
            t = fmult(a[i], b[j]);
            s = veorq_u8(s, t);
            t = fmult(a[j], b[i]);
            s = veorq_u8(s, t);
            c[j] = veorq_u8(c[j], s);
        }
}
```

```
void sec_h(uint8x16_t y[],
uint8x16_t x[], uint8x16_t gx[],
uint8x16_t (g_call)(uint8x16_t), int n) {
    :
    :
    for (...)
        for (...) {
            :
            :
            t = g_call(r01);
            t = fmult(x[i], t);
            r1 = veorq_u8(r00, t);
            t = fmult(r01, gx[i]);
            r1 = veorq_u8(r1, t);
            s = veorq_u8(x[j], r01);
            t = g_call(s);
            t = fmult(x[i], t);
            r1 = veorq_u8(t, r1);
            t = fmult(gx[i], s);
            r1 = veorq_u8(t, r1);
            y[j] = veorq_u8(y[j], r1);
        }
}
```



# Implementation

## Vector Implementation of Secure Field Multiplication

16/21

```
void sec_fmult(uint8x16_t c[],  
uint8x16_t a[], uint8x16_t b[],  
int n) {
```

```
    int i, j;  
    uint8x16_t s, t;
```

```
    for (i = 0; i < n; i++)  
        c[i] = fmult(a[i], b[i]);  
    for (i = 0; i < n; i++)  
        for (j = i+1; j < n; j++) {
```

```
            s = rand_uint8x16();  
            c[i] = veorq_u8(c[i], s);  
            t = fmult(a[i], b[j]);  
            s = veorq_u8(s, t);  
            t = fmult(a[j], b[i]);  
            s = veorq_u8(s, t);  
            c[j] = veorq_u8(c[j], s);
```

```
        }
```

```
    }
```

```
void sec_h(uint8x16_t y[],  
uint8x16_t x[], uint8x16_t gx[],  
uint8x16_t (g_call)(uint8x16_t), int n) {
```

```
    :
```

```
    for (...)  
        for (...) {
```

```
        :
```

```
            t = g_call(r01);  
            t = fmult(x[i], t);  
            r1 = veorq_u8(r00, t);  
            t = fmult(r01, gx[i]);  
            r1 = veorq_u8(r1, t);  
            s = veorq_u8(x[j], r01);  
            t = g_call(s);  
            t = fmult(x[i], t);  
            r1 = veorq_u8(t, r1);  
            t = fmult(gx[i], s);  
            r1 = veorq_u8(t, r1);  
            y[j] = veorq_u8(y[j], r1);
```

```
        }
```

```
    }
```

# Implementation

```
void sec_fmult(uint8x16_t c[],  
uint8x16_t a[], uint8x16_t b[],  
int n) {
```

```
    int i, j;  
    uint8x16_t s, t;
```

```
    for (i = 0; i < n; i++)  
        c[i] = fmult(a[i], b[i]);
```

```
    for (i = 0; i < n; i++)  
        for (j = i+1; j < n; j++) {  
            s = rand_uint8x16();
```

```
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            s = veorq_u8(s, t);  
            t = fmult(a[j], b[i]);  
            s = veorq_u8(s, t);  
            c[j] = veorq_u8(c[j], s);
```

```
        }
```

```
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```
void sec_h(uint8x16_t y[],  
uint8x16_t x[], uint8x16_t gx[],  
uint8x16_t (g_call)(uint8x16_t), int n) {
```

```
    :
```

```
    for (...)  
        for (...) {
```

```
        :
```

```
            t = g_call(r01);  
            t = fmult(x[i], t);  
            r1 = veorq_u8(r00, t);  
            t = fmult(r01, gx[i]);  
            r1 = veorq_u8(r1, t);  
            s = veorq_u8(x[j], r01);  
            t = g_call(s);  
            t = fmult(x[i], t);  
            r1 = veorq_u8(t, r1);  
            t = fmult(gx[i], s);  
            r1 = veorq_u8(t, r1);  
            y[j] = veorq_u8(y[j], r1);
```

```
        }
```

```
    }
```

- [KHL11] is vulnerable to the same attack on [RP10]
- We propose a new secure inversion algorithm

SecInv4 - masked exponentiation in  $\mathbb{F}_{2^4}$  with  $n$  shares

**Input:** shares  $x_i$  satisfying  $x_1 \oplus \dots \oplus x_n = x$

**Output:** shares  $y_i$  satisfying  $y_1 \oplus \dots \oplus y_n = x^{14}$

1:  $(w_i)_i \leftarrow (x_i^2)_i$

2:  $(z_i)_i \leftarrow \text{SecH}((x_i)_i, (w_i)_i)$

3:  $(z_i)_i \leftarrow (z_i^4)_i$

4:  $(y_i)_i \leftarrow \text{SecMult}((z_i)_i, (w_i)_i)$

▷  $\bigoplus_i w_i = x^2$

▷  $\bigoplus_i z_i = x^3$

▷  $\bigoplus_i z_i = x^{12}$

▷  $\bigoplus_i y_i = x^{14}$

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Performance Metrics	#instructions
Field Multiplication	15
Random Bits Generation - xorshift96	15
XOR	1
Secure AddRoundKey	$n$
Secure ShiftRows	$4n$
Secure MixColumns	$13n$
Secure Affine Transformation	$18n$
Secure Exp254	$191n^2 - 26n$

**Table:** The number of instructions consumed by vector implementation of each element, where  $n$  is the number of shares

# Comparison

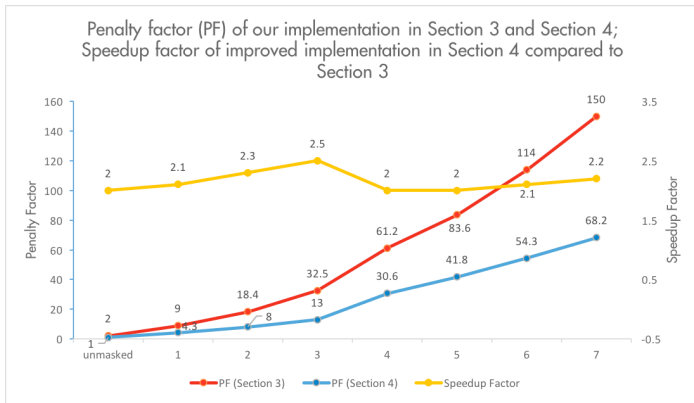


Figure: Comparison with baseline implementation

Method	Platform	First-order	Second-order	Third-order	Fourth-order
CHES'10 [RP10]	8-bit 8051	65	132	235	-
CHES'11 [KHL11]	8-bit AVR	-	22	39	-
Coron [Cor14]	1.86 GHz Intel	439	1205	2411	4003
Ours (Section 3)	32-bit ARM	9	19	32	60
Ours (Section 4)	32-bit ARM	4	8	13	31

Table: Penalty factor in different implementations

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- Our implementation achieve a not bad penalty factor, hence, they are deployable in practice.

# Conclusion

---

21/21

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Thank You!

# Conclusion

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21/21

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Question?